

ANNEXURE-B

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2018

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	L65990MH1973PLC016601
2	Registration Date	16th June, 1973
3	Name of the Company	REMI SECURITIES LIMITED
4	Category/Sub-category of the Company	Company limited by shares/ Indian Non-Government Company
5	Address of the Registered office & contact details	Remi House, Plot No.11, Cama Industrial Estate, Goregaon (East), Mumbai-400063
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Private Limited, 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis Makwana Road, Marol, Andheri East, Mumbai 400059, Tel: 022 62638200 Fax : 022 62638299 Email: investor@bigshareonline.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Financial Services:		
	Capital Gain on Sale of Long Term Investments	Group - 649	79.66

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	REMI FINANCE AND INVESTMENT PRIVATE LIMITED	U65990MH1975PTC018514	Associate	26.57	2 (6)
2	REMI FANS LIMITED	U33110MH1984PLC033730	Associate	22.38	2 (6)
3	REMI ELECTRICAL INDUSTRIES LIMITED	U31100MH1979PLC020945	Associate	21.65	2 (6)
4	REMI AUTO FANS LIMITED	U74999MH1984PLC032528	Associate	37.77	2 (6)
5	VAYUDOOT TRADING LIMITED	U31902MH1989PLC052357	Associate	22.00	2 (6)
6	HIGHPOWER MERCANTILE LIMITED	U31100MH1989PLC052356	Associate	22.00	2 (6)

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	978,500	-	978,500	48.93%	978,500	-	978,500	48.93%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other (Trust)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (1)	978,500	-	978,500	48.93%	978,500	-	978,500	48.93%	0.00%

(2) Foreign									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (2)	-	-	-	0.00%	-	-	-	0.00%	0.00%
TOTAL (A)	978,500	-	978,500	48.93%	978,500	-	978,500	48.93%	0.00%
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance Companies	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(1):-	-	-	-	0.00%	-	-	-	0.00%	0.00%
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	705,910	-	705,910	35.30%	705,910	-	705,910	35.30%	0.00%
ii) Overseas	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	570	3,020	3,590	0.18%	570	3,020	3,590	0.18%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	199,300	-	199,300	9.97%	199,300	-	199,300	9.97%	0.00%
c) Others (specify)									
Non Resident Indians	-	-	-	0.00%	-	-	-	0.00%	0.00%
Overseas Corporate Bodies	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Nationals	-	-	-	0.00%	-	-	-	0.00%	0.00%
Clearing Members	-	-	-	0.00%	-	-	-	0.00%	0.00%
Trusts	112,700	-	112,700	5.64%	112,700	-	112,700	5.64%	0.00%
Foreign Bodies - D R	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(2):-	1,018,480	3,020	1,021,500	51.08%	1,018,480	3,020	1,021,500	51.08%	0.00%
Total Public (B)	1,018,480	3,020	1,021,500	51.08%	1,018,480	3,020	1,021,500	51.08%	0.00%
C. Shares held by Custodian for GDRs & ADRs	-	-	-	0.00%	-	-	-	0.00%	0.00%
Grand Total (A+B+C)	1,996,980	3,020	2,000,000	100.00%	1,996,980	3,020	2,000,000	100.00%	0.00%

Note: Change in the % of shareholding during the year is due to change in capital.

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total	
1	RAJENDRA FINANCE PVT. LTD.	30,400	1.52%	0.00%	30,400	1.52%	0.00%	0.00%
2	REMI FINANCE & INV PVT. LTD.	40,400	2.02%	0.00%	40,400	2.02%	0.00%	0.00%
3	REMI SALES & ENGINEERING LTD.	172,550	8.63%	0.00%	172,550	8.63%	0.00%	0.00%
4	BAJRANG FINANCE LTD.	340,250	17.01%	0.00%	340,250	17.01%	0.00%	0.00%
5	K K FINCORP LTD. (FORMERLY KNOWN AS KUBERKAMAL INDUSTRIAL INVESTMENTS LTD.)	394,900	19.75%	0.00%	394,900	19.75%	0.00%	0.00%
	TOTAL:	978,500	48.93%	0.00%	978,500	48.93%	0.00%	0.00%

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
	At the beginning of the year	978500	48.93%	978,500	48.93%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			978,500	48.93%

(iv) Shareholding Pattern of top ten Shareholders*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	HANUMAN FORGING AND ENGINEERING PVT LTD				
	At the beginning of the year	260,000	13.00%	260,000	13.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			260000	13.00%
2	SUMANGAL SHARES & SECURITIES PVT. LTD				
	At the beginning of the year	155,000	7.75%	155,000	7.75%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			155000	7.75%
3	FULIDEVI SARAF FAMILY TRUST				
	At the beginning of the year	112,200	5.61%	112,200	5.61%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			112200	5.61%
4	RISHABH R SARAF				
	At the beginning of the year	100,500	5.03%	100,500	5.03%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			100500	5.03%
5	VANDANA V SARAF				
	At the beginning of the year	98,800	4.94%	98,800	4.94%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			98800	4.94%
6	HANUMAN FREIGHT AND CARRIERS PVT LTD				
	At the beginning of the year	87,110	4.36%	87,110	4.36%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			87110	4.36%

7	LAKSHMINARAYAN REALFINVEST LIMITED				
	At the beginning of the year	87,000	4.35%	87,000	4.35%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			87000	4.35%
8	JAI AMBIKA FINANCE AND INVESTMENT PRIVATE LTD				
	At the beginning of the year	86,000	4.30%	86,000	4.30%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			86000	4.30%
9	REMI ELECTRICAL INDUSTRIES LIMITED				
	At the beginning of the year	30,500	1.53%	30,500	1.53%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			30500	1.53%
10	CHIRANJILAL SARAF FAMILY TRUST				
	At the beginning of the year	500	0.03%	500	0.03%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			500	0.03%

Note: The above information is based on the weekly beneficiary position received from depository.

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	Mr. Sanjay Maheshwari (CFO)				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%
2	Mr. Bhagirath Singh				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%
3	Mr. Pramod C. Jalan				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%
4	Mrs. Anita V. Bhartiya				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	Nil	Nil	Nil	-
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the financial year				
* Addition	Nil	Nil	Nil	-
* Reduction	Nil	Nil	Nil	-
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	Nil	Nil	Nil	-
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
Total (i+ii+iii)				

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
(1)	Name:	Shri NK. Mittal	Shri Sanjay Maheshwari	(in Rs.)
	Designation:	Whole-Time Director (upto 30/09/2017)	Whole-Time Director (w.e.f. 01/10/2017)	
1	Gross salary			
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	480,301.00	581,100.00	1,061,401.00
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c)	Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	1,642,501.00		1,061,401.00
	Ceiling as per the Act	As per applicable Companies Act.		

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors		Total Amount (in Rs.)
		1	Independent Directors	Mr. Bhagirath Singh
	Fee for attending board committee meetings	2,500.00	2,500.00	5,000.00
	Commission	-	-	-
	Others, please specify (Conveyance)	3,750.00	3,750.00	7,500.00
	Total (1)	6,250.00	6,250.00	12,500.00
2	Other Non-Executive Directors	Mr. Pramod C. Jalan		
	Fee for attending board committee meetings	2,500.00		2,500.00
	Commission	-		-
	Others, please specify (Conveyance)	3,750.00		3,750.00
	Total (2)	6,250.00		6,250.00
	Total (B)=(1+2)			18,750.00
	Total Managerial Remuneration			
	Overall Ceiling as per the Act			

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (in Rs.)
			Name:	Shri NK. Mittal
	Designation:	Whole-Time Director (upto 30/09/2017)	Whole-Time Director (w.e.f. 01/10/2017)	
1	Gross salary			
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	480,301.00	581,100.00	1,061,401.00
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c)	Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	480,301.00	581,100.00	1,061,401.00

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					Nil
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					