FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2023
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management \& Administration) Rules, 2014. I. REGISTRATION \& OTHER DETAILS:

| 1 | CIN | L65990MH1981PLC023696 |
| :--- | :--- | :--- |
| 2 | Registration Date | 13th January, 1981 |
| 3 | Name of the Company | K K FINCORP LIMITED |
| 4 | Category/Sub-category of the Company | Company limited by shares/ Indian Non-Government Company |
| 5 | Address of the Registered office \& contact details | Plot No.11, Cama Industrial Estate, Goregaon (East), Mumbai-400063 |
| 6 | Whether listed company | Yes |
| 7 | Name, Address \& contact details of the Registrar \& Transfer <br> Agent, if any. | Bigshare Services Private Limited, 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis <br> Makwana Road, Marol, Andheri East, Mumbai 400059, <br> Tel: 02262638200 Fax : 022 62638299 Email: investor@bigshareonline.com |

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing $10 \%$ or more of the total turnover of the company shall be stated)

| S. No. | Name and Description of main products / services | NIC Code of the Product/ <br> service | \% to total turnover of the <br> company |
| :---: | :--- | :---: | :---: |
| 1 | Financial Services | Group - 649 | 99.44 |

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

| S. No. | Name and address of the Company | CIN/GLN | Holding/ Subsidiary/ <br> Associate | \% of shares <br> held | Applicable <br> Section |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | REMI FANS LIMITED | U33110MH1984PLC033730 | Associate | 23.62 | $2(6)$ |

## IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)
(i) Category-wise Share Holding

| Category of Shareholders | No. of Shares held at the beginning of the year |  |  |  | No. of Shares held at the end of the year |  |  |  | \% Change during the year |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Demat | Physical | Total | \% of Total Shares | Demat | Physical | Total | \% of Total Shares |  |
| A. Promoters |  |  |  |  |  |  |  |  |  |
| (1) Indian |  |  |  |  |  |  |  |  |  |
| a) Individual/ HUF | - | - | - | - | - | - | - | - | - |
| b) Central Govt | - | - | - | - | - | - | - | - | - |
| c) State Govt(s) | - | - | - | - | - | - | - | - | - |
| d) Bodies Corp. | 1,351,200 | - | 1,351,200 | 24.13 | 1,351,200 | - | 1,351,200 | 24.13 | - |
| e) Banks / FI | - | - | - | - | - | - | - | - | - |
| f) Any other (Trust) | - | - | - | - | - | - | - | - | - |
| Sub Total (A) (1) | 1,351,200 | - | 1,351,200 | 24.13 | 1,351,200 | - | 1,351,200 | 24.13 | - |
| (2) Foreign |  |  |  |  |  |  |  |  |  |
| a) NRI Individuals | - | - | - | - | - | - | - | - | - |
| b) Other Individuals | - | - | - | - | - | - | - | - | - |
| c) Bodies Corp. | - | - | - | - | - | - | - | - | - |
| d) Any other | - | - | - | - | - | - | - | - | - |
| Sub Total (A) (2) | - | - | - | - | - | - | - | - | - |
| TOTAL (A) | 1,351,200 | - | 1,351,200 | 24.13 | 1,351,200 | - | 1,351,200 | 24.13 | - |
| B. Public Shareholding |  |  |  |  |  |  |  |  |  |
| 1. Institutions |  |  |  |  |  |  |  |  |  |
| a) Mutual Funds | - | - | - | - | - | - | - | - | - |
| b) Banks / FI | - | - | - | - | - | - | - | - | - |
| c) Central Govt | - | - | - | - | - | - | - | - | - |
| d) State Govt(s) | - | - | - | - | - | - | - | - | - |
| e) Venture Capital Funds | - | - | - | - | - | - | - | - | - |
| f) Insurance Companies | - | - | - | - | - | - | - | - | - |
| g) FIls | - | - | - | - | - | - | - | - | - |
| h) Foreign Venture Capital Funds | - | - | - | - | - | - | - | - | - |
| i) Others (specify) | - | - | - | - | - | - | - | - | - |
| Sub-total (B)(1):- | - | - | - | - | - | - | - | - | - |


| 2. Non-Institutions |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| a) Bodies Corp. |  |  |  |  |  |  |  |  |  |
| i) Indian | 2,846,551 | - | 2,846,551 | 50.83 | 2,745,584 | - | 2,745,584 | 49.03 | (1.80) |
| ii) Overseas | - | - | - | - | - | - | - | - | - |
| b) Individuals |  |  |  |  |  |  |  |  |  |
| i) Individual shareholders <br> holding nominal share capital upto Rs. 1 lakh | 475,508 | 107,010 | 582,518 | 10.40 | 197,182 | 109,110 | 306,292 | 5.47 | (4.93) |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh | 533,932 | - | 533,932 | 9.53 | 1,123,781 | - | 1,123,781 | 20.07 | 10.53 |
| c) Others (specify) |  |  |  |  |  |  |  |  |  |
| Non Resident Indians | 2,000 | - | 2,000 | 0.04 | 18,200 | - | 18,200 | 0.33 | 0.29 |
| Overseas Corporate Bodies | - | - | - | - | - | - | - | - | - |
| Foreign Nationals | - | - | - | - | - | - | - | - | - |
| Clearing Members | 1,590 | - | 1,590 | 0.03 | 3999 | - | 3,999 | 0.07 | 0.04 |
| H.U.F | 94,809 | - | 94,809 | 1.69 | 50944 | - | 50,944 | 0.91 | (0.78) |
| Trusts | 187,400 | - | 187,400 | 3.35 | - | - | - | - | (3.35) |
| Foreign Bodies - D R | - | - | - | - | - | - | - | - | - |
| Sub-total (B)(2):- | 4,141,790 | 107,010 | 4,248,800 | 75.87 | 4,139,690 | 109,110 | 4,248,800 | 75.87 | - |
| Total Public (B) | 4,141,790 | 107,010 | 4,248,800 | 75.87 | 4,139,690 | 109,110 | 4,248,800 | 75.87 | - |
| C. Shares held by Custodian for GDRs \& ADRs | - | - | - | - | - | - | - | - | - |
| Grand Total ( $\mathrm{A}+\mathrm{B}+\mathrm{C}$ ) | 5,492,990 | 107,010 | 5,600,000 | 100.00 | 5,490,890 | 109,110 | 5,600,000 | 100.00 | - |

(ii) Shareholding of Promoter

| S. No. | Shareholder's Name |  | Shareholding at the beginning of the year |  |  | Shareholding at the end of the year |  |  | \% change in shareholding during the year |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | No. of Shares | \% of total <br> Shares of the company | \% of Shares <br> Pledged/ encumbered to total shares | No. of Shares | \% of total Shares of the company | \% of Shares <br> Pledged / encumbered to total shares |  |
| 1 | REMI SALES \& ENGINEERING LTD. |  | 1,080,000 | 19.29\% | 0.00\% | 1,080,000 | 19.29\% | 0.00\% | 0.00\% |
| 2 | BAJRANG FINANCE LTD. |  | 271,200 | 4.84\% | 0.00\% | 271,200 | 4.84\% | 0.00\% | 0.00\% |
|  |  | TOTAL: | 1,351,200 | 24.13\% | 0.00\% | 1,351,200 | 24.13\% | 0.00\% | 0.00\% |

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

| SN | Particulars | Shareholding at the beginning of the year |  | Cumulative Shareholding during the year |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | No. of shares | \% of total <br> shares | No. of shares | \% of total shares |
|  | At the beginning of the year | - | 0.00\% | - | 0.00\% |
|  | Changes during the year | There are no changes during the year | 0.00\% | - | 0.00\% |
|  | At the end of the year |  | 0.00\% | - | 0.00\% |

(iv) Shareholding Pattern of top ten Shareholders
(Other than Directors, Promoters and Holders of GDRs and ADRs):

| SN | For each of the Top 10 shareholders | Shareholding at the beginning of the year |  | Cumulative Shareholding during the year |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | No. of shares | \% of total shares | No. of shares | \% of total shares |
| 1 | HANUMAN FREIGHT AND CARRIERS PVT. LTD. |  |  |  |  |
|  | At the beginning of the year | 1,309,016 | 23.38\% | 1,309,016 | 23.38\% |
|  | Changes during the year |  | 0.00\% | - | 0.00\% |
|  |  |  | 0.00\% | 1,309,016 | 23.38\% |
| 2 | HANUMAN FORGING AND ENGINEERING PVT. LTD. |  |  |  |  |
|  | At the beginning of the year | 777,724 | 13.89\% | 777,724 | 13.89\% |
|  | Changes during the year |  | 0.00\% | - | 0.00\% |
|  | At the end of the year |  | 0.00\% | 777,724 | 13.89\% |
| 3 | LAKSHMINARAYAN REALFINVEST LIMITED |  |  |  |  |
|  | At the beginning of the year | 592,000 | 10.57\% | 592,000 | 10.57\% |
|  | Changes during the year | - | 0.00\% |  | 0.00\% |
|  | At the end of the year |  | 0.00\% | 592,000 | 10.57\% |


| 4 | RISHABH R SARAF |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | At the beginning of the year | - | 0.00\% |  | 0.00\% |
|  | Changes during the year | 315,875 | 5.64\% | 315,875 | 5.64\% |
|  | At the end of the year |  | 0.00\% | 315,875 | 5.64\% |
| 5 | VISHWAMBHARLAL C SARAF |  |  |  |  |
|  | At the beginning of the year | 4,000 | 0.07\% | 4,000 | 0.07\% |
|  | Changes during the year | 161,337 | 2.88\% | 161,337 | 2.88\% |
|  | At the end of the year |  | 0.00\% | 165,337 | 2.95\% |
| 6 | RITVIK V SARAF |  |  |  |  |
|  | At the beginning of the year | 4,000 | 0.07\% | 4,000 | 0.07\% |
|  | Changes during the year | 161,337 | 2.88\% | 161,337 | 2.88\% |
|  | At the end of the year |  | 0.00\% | 165,337 | 2.95\% |
| 7 | ABDUL MUNAF SHAIKH |  |  |  |  |
|  | At the beginning of the year | 100,000 | 1.79\% | 100,000 | 1.79\% |
|  | Changes during the year | - | 0.00\% |  | 0.00\% |
|  | At the end of the year |  | 0.00\% | 100,000 | 1.79\% |
| 8 | SHAMAIN SINGH |  |  |  |  |
|  | At the beginning of the year | 53,332 | 0.95\% | 53,332 | 0.95\% |
|  | Changes during the year | - | 0.00\% | - | 0.00\% |
|  | At the end of the year |  | 0.00\% | 53,332 | 0.95\% |
| 9 | KHATTU CONSTRUCTION AND DEVELOPERS PVT. LTD. |  |  |  |  |
|  | At the beginning of the year | 44,717 | 0.80\% | 44,717 | 0.80\% |
|  | Changes during the year | - | 0.00\% |  | 0.00\% |
|  | At the end of the year |  | 0.00\% | 44,717 | 0.80\% |
| 10 | RAJEEV KUMAR SINGH |  |  |  |  |
|  | At the beginning of the year | 40,000 | 0.71\% | 40,000 | 0.71\% |
|  | Changes during the year | - | 0.00\% |  | 0.00\% |
|  | At the end of the year |  | 0.00\% | 40,000 | 0.71\% |

Note: The above information is based on the weekly beneficiary position received from depository.

## (v) Shareholding of Directors and Key Managerial Personnel:

| SN | Shareholding of each Directors and each Key Managerial Personnel | Shareholding at the beginning of the year |  | Cumulative Shareholding during the year |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | No. of shares | \% of total shares | No. of shares | \% of total shares |
| 1 | Mr. Shiv Kumar Sharma (WTD \& CFO) |  |  |  |  |
|  | At the beginning of the year | - | 0.00\% | - | 0.00\% |
|  | Changes during the year | - | 0.00\% | - | 0.00\% |
|  | At the end of the year | - | 0.00\% | - | 0.00\% |
| 2 | Mr. Nirmal Murarka (w.e.f. 11/10/2022) |  |  |  |  |
|  | At the beginning of the year | - | 0.00\% | - | 0.00\% |
|  | Changes during the year | - | 0.00\% | - | 0.00\% |
|  | At the end of the year |  | 0.00\% | - | 0.00\% |
| 3 | Mr. Sandeep Shriya |  |  |  |  |
|  | At the beginning of the year | - | 0.00\% | - | 0.00\% |
|  | Changes during the year | - | 0.00\% | - | 0.00\% |
|  | At the end of the year | - | 0.00\% | - | 0.00\% |
| 4 | Mrs. Anita V. Bhartiya |  |  |  |  |
|  | At the beginning of the year | - | 0.00\% | - | 0.00\% |
|  | Changes during the year | - | 0.00\% | - | 0.00\% |
|  | At the end of the year | - | 0.00\% | - | 0.00\% |
| 5 | Mr. Pradeep C. Jalan (upto 11/10/2022) |  |  |  |  |
|  | At the beginning of the year | 400 | 0.01\% | 400 |  |
|  | Changes during the year | - | 0.00\% | - |  |
|  | At the end of the year |  | 0.00\% | 400 |  |
| 6 | Ms. Kusum Parek (CS) |  |  |  |  |
|  | At the beginning of the year | - | 0.00\% |  |  |
|  | Changes during the year | - | 0.00\% |  |  |
|  | At the end of the year | - | 0.00\% |  |  |

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.
(Amt. in Lacs)

| Particulars | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
| :---: | :---: | :---: | :---: | :---: |
| Indebtedness at the beginning of the financial year |  |  |  |  |
| i) Principal Amount | Nil | Nil | Nil | - |
| ii) Interest due but not paid | Nil | Nil | Nil | - |
| iii) Interest accrued but not due | Nil | Nil | Nil | - |
| Total (i+iii+iii) | - | - | - | - |
| Change in Indebtedness during the financial year |  |  |  |  |
| * Addition | Nil | Nil | Nil | - |
| ${ }^{*}$ Reduction | Nil | Nil | Nil | - |
| Net Change | - | - | - | - |
| Indebtedness at the end of the financial year |  |  |  |  |
| i) Principal Amount | Nil | Nil | Nil | - |
| ii) Interest due but not paid | Nil | Nil | Nil | - |
| (ii) Interest accrued but not due | Nil | Nil | Nil | - |
| Total (i+ii+iiii) | - | - | - | - |
| VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL |  |  |  |  |

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

| SN. | Particulars of Remuneration | Name of MD/WTD/ Manager | Total Amount |
| :---: | :--- | :---: | :---: |
| (1) | Name: | Shri Shiv Kumar Sharma | (in Lacs) |
|  | Designation: | Whole-Time Director |  |
| 1 | Gross salary |  |  |
| (a) | Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 |  |  |
| (b) | Value of perquisites u/s 17(2) Income-tax Act, 1961 | 6.95 | 6.95 |
| (c) | Profits in lieu of salary under section 17(3) Income- tax Act, 1961 | - | - |
| 2 | Stock Option | - | - |
| 3 | Sweat Equity |  | - |
| 4 | Commission | - | - |
|  | - as $\%$ of profit |  | - |
|  | - others, specify |  | - |
| 5 | Others, please specify | - | - |
|  |  |  | - |
|  | Ceiling as per the Act |  | - |


C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

| SN. | Particulars of Remuneration | Name of Key Managerial Personnel |  | Total Amount |
| :---: | :---: | :---: | :---: | :---: |
|  | Name | Shri Shiv Kumar Sharma | Ms. Kusum Parek | (in Lacs) |
|  | Designation | CFO | (CS) |  |
| 1 | Gross salary |  |  |  |
| (a) | Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 6.95 | 3.20 | 10.15 |
| (b) | Value of perquisites u/s 17(2) Income-tax Act, 1961 | - | - | - |
| (c) | Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | - | - | - |
| 2 | Stock Option | - | - | - |
| 3 | Sweat Equity | - | - | - |
|  | Commission | - | - | - |
| 4 | - as \% of profit | - | - | - |
|  | - others, specify | - | - | - |
| 5 | Others, please specify | - | - | - |
|  | Total: | 6.95 | 3.20 | 10.15 |

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

| Type | Section of the Companies Act | Brief Description | Details of Penalty / Punishment/ Compounding fees imposed | Authority [RD / NCLT/ COURT] | Appeal made, if any (give Details) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| A. COMPANY |  |  |  |  |  |
| Penalty |  |  |  | $)$ |  |
| Punishment |  |  |  |  |  |
| Compounding |  |  |  |  |  |
| B. DIRECTORS |  |  |  |  |  |
| Penalty |  |  |  |  |  |
| Punishment |  |  |  |  | Nil |
| Compounding |  |  |  |  |  |
| C. OTHER OFFICERS IN DEFAULT |  |  |  |  |  |
| Penalty |  |  |  |  |  |
| Punishment |  |  |  |  |  |
| Compounding |  |  |  | , |  |

