

**FORM NO. MGT 9**

**EXTRACT OF ANNUAL RETURN**

As on financial year ended on 31.03.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

<b>I. REGISTRATION &amp; OTHER DETAILS:</b>		
1	CIN	L65990MH1973PLC016601
2	Registration Date	16th June, 1973
3	Name of the Company	REMI SECURITIES LIMITED
4	Category/Sub-category of the Company	Company limited by shares/ Indian Non-Government Company
5	Address of the Registered office & contact details	Remi House, Plot No.11, Cama Industrial Estate, Goregaon (East), Mumbai-400063
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Private Limited, 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis Makwana Road, Marol, Andheri East, Mumbai 400059, Tel: 022 62638200 Fax : 022 62638299 Email: investor@bigshareonline.com

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Financial Services:	Group - 649	89.92

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

S. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	REMI FINANCE AND INVESTMENT PRIVATE LIMITED	U65990MH1975PTC018514	Associate	26.57	2 (6)
2	REMI FANS LIMITED	U33110MH1984PLC033730	Associate	22.38	2 (6)
3	REMI ELECTRICAL INDUSTRIES LIMITED	U31100MH1979PLC020945	Associate	21.65	2 (6)
4	REMI AUTO FANS LIMITED	U74999MH1984PLC032528	Associate	37.77	2 (6)
5	VAYUDOOT TRADING LIMITED	U31902MH1989PLC052357	Associate	22.00	2 (6)
6	HIGHPOWER MERCANTILE LIMITED	U31100MH1989PLC052356	Associate	22.00	2 (6)

**IV. SHARE HOLDING PATTERN**

(Equity share capital breakup as percentage of total equity)

**(i) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	978,500	-	978,500	48.93%	978,500	-	978,500	48.93%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other (Trust)	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub Total (A) (1)</b>	978,500	-	978,500	48.93%	978,500	-	978,500	48.93%	0.00%

<b>(2) Foreign</b>									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub Total (A) (2)</b>	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>TOTAL (A)</b>	978,500	-	978,500	48.93%	978,500	-	978,500	48.93%	0.00%
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance Companies	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub-total (B)(1):-</b>	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>2. Non-Institutions</b>									
a) Bodies Corp.									
i) Indian	705,910	-	705,910	35.30%	705,910	-	705,910	35.30%	0.00%
ii) Overseas	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	570	3,020	3,590	0.18%	570	3,020	3,590	0.18%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	199,300	-	199,300	9.97%	199,300	-	199,300	9.97%	0.00%
c) Others (specify)									
Non Resident Indians	-	-	-	0.00%	-	-	-	0.00%	0.00%
Overseas Corporate Bodies	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Nationals	-	-	-	0.00%	-	-	-	0.00%	0.00%
Clearing Members	-	-	-	0.00%	-	-	-	0.00%	0.00%
Trusts	112,700	-	112,700	5.64%	112,700	-	112,700	5.64%	0.00%
Foreign Bodies - D R	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub-total (B)(2):-</b>	1,018,480	3,020	1,021,500	51.08%	1,018,480	3,020	1,021,500	51.08%	0.00%
<b>Total Public (B)</b>	1,018,480	3,020	1,021,500	51.08%	1,018,480	3,020	1,021,500	51.08%	0.00%
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Grand Total (A+B+C)</b>	<b>1,996,980</b>	<b>3,020</b>	<b>2,000,000</b>	<b>100.00%</b>	<b>1,996,980</b>	<b>3,020</b>	<b>2,000,000</b>	<b>100.00%</b>	<b>0.00%</b>

Note: Change in the % of shareholding during the year is due to change in capital.

**(ii) Shareholding of Promoter**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbere	
1	RAJENDRA FINANCE PVT. LTD.	30,400	1.52%	0.00%	30,400	1.52%	0.00%	0.00%
2	REMI FINANCE & INV PVT. LTD.	40,400	2.02%	0.00%	40,400	2.02%	0.00%	0.00%
3	REMI SALES & ENGINEERING LTD.	172,550	8.63%	0.00%	172,550	8.63%	0.00%	0.00%
4	BAJRANG FINANCE LTD.	340,250	17.01%	0.00%	340,250	17.01%	0.00%	0.00%
5	K K FINCORP LTD. (FORMERLY KNOWN AS KUBERKAMAL INDUSTRIAL INVESTMENTS LTD.)	394,900	19.75%	0.00%	394,900	19.75%	0.00%	0.00%
	<b>TOTAL:</b>	<b>978,500</b>	<b>48.93%</b>	<b>0.00%</b>	<b>978,500</b>	<b>48.93%</b>	<b>0.00%</b>	<b>0.00%</b>

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
	At the beginning of the year	0	0.00%	-	0.00%
	Changes during the year	There are no changes during the year		-	0.00%
	At the end of the year			-	0.00%

**(iv) Shareholding Pattern of top ten Shareholders***(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	HANUMAN FORGING AND ENGINEERING PVT LTD				
	At the beginning of the year	260,000	13.00%	260,000	13.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			260000	13.00%
2	SUMANGAL SHARES & SECURITIES PVT. LTD				
	At the beginning of the year	155,000	7.75%	155,000	7.75%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			155000	7.75%
3	FULIDEVI SARAF FAMILY TRUST				
	At the beginning of the year	112,200	5.61%	112,200	5.61%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			112200	5.61%
4	RISHABH R SARAF				
	At the beginning of the year	100,500	5.03%	100,500	5.03%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			100500	5.03%
5	VANDANA V SARAF				
	At the beginning of the year	98,800	4.94%	98,800	4.94%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			98800	4.94%
6	HANUMAN FREIGHT AND CARRIERS PVT LTD				
	At the beginning of the year	87,110	4.36%	87,110	4.36%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			87110	4.36%

7	LAKSHMINARAYAN REALFINVEST LIMITED				
	At the beginning of the year	87,000	4.35%	87,000	4.35%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			87000	4.35%
8	JAI AMBIKA FINANCE AND INVESTMENT PRIVATE LTD				
	At the beginning of the year	86,000	4.30%	86,000	4.30%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			86000	4.30%
9	REMI ELECTRICAL INDUSTRIES LIMITED				
	At the beginning of the year	30,500	1.53%	30,500	1.53%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			30500	1.53%
10	CHIRANJILAL SARAF FAMILY TRUST				
	At the beginning of the year	500	0.03%	500	0.03%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year			500	0.03%

Note: The above information is based on the weekly beneficiary position received from depository.

**(v) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	Mr. Sanjay Maheshwari (WTD & CFO)				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%
2	Mr. Bhagirath Singh				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%
3	Mr. Pramod C. Jalan				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%
4	Mrs. Anita V. Bhartiya				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%
5	Ms. Poonam Jangid (CS w.e.f. 01/01/2019)				
	At the beginning of the year	-	0.00%	-	0.00%
	Changes during the year	-	0.00%	-	0.00%
	At the end of the year	-	0.00%	-	0.00%

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	Nil	Nil	Nil	-
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
<b>Total (i+ii+iii)</b>	-	-	-	-
<b>Change in Indebtedness during the financial year</b>				
* Addition	Nil	Nil	Nil	-
* Reduction	Nil	Nil	Nil	-
Net Change				
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	Nil	Nil	Nil	-
ii) Interest due but not paid	Nil	Nil	Nil	-
iii) Interest accrued but not due	Nil	Nil	Nil	-
<b>Total (i+ii+iii)</b>				

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
(1)	Name:	Mr. Sanjay Maheshwari	Ms. Poonam Jangid	(in Rs.)
	Designation:	Whole-Time Director	Company Secretary (w.e.f. 01/01/2019)	
1	Gross salary			
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,212,600.00	105,000.00	1,317,600.00
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c)	Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	<b>Total (A)</b>	<b>1,212,600.00</b>	<b>105,000.00</b>	<b>1,317,600.00</b>
	Ceiling as per the Act	As per applicable Companies Act.		

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors		Total Amount
				(in Rs.)
1	Independent Directors	Mr. Bhagirath Singh	Mrs. Anita Bhartiya	
	Fee for attending board committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify (Conveyance)	7,000.00	7,000.00	14,000.00
	Total (1)	7,000.00	7,000.00	14,000.00
2	Other Non-Executive Directors	Mr. Pramod C. Jalan		
	Fee for attending board committee meetings	-		-
	Commission	-		-
	Others, please specify (Conveyance)	7,000.00		7,000.00
	Total (2)	7,000.00		7,000.00
	Total (B)=(1+2)			21,000.00
	<b>Total Managerial Remuneration</b>			
	Overall Ceiling as per the Act			

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
				(in Rs.)
	Name:	Mr. Sanjay Maheshwari	Ms. Poonam Jangid	
	Designation:	Chief Financial Officer	Company Secretary (w.e.f. 01/01/2019)	
1	Gross salary			
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,212,600.00	105,000.00	1,317,600.00
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
(c)	Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	<b>Total</b>	<b>1,212,600.00</b>	<b>105,000.00</b>	<b>1,317,600.00</b>

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					}
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					} Nil
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					}
Punishment					
Compounding					